

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re New Patent Application of )  
Osamu NAKAMURA et al. )  
Japanese Priority Application No. 2001-019367 ) Attn: Applications  
Japanese Priority Date: January 29, 2001 ) Branch  
For: SEMICONDUCTOR DEVICE AND )  
MANUFACTURING METHOD OF )  
THE SAME ) Date: January 28, 2002

J1017 U.S. PTO  
10/056055  
01/28/02

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents and Trademarks  
Washington, D.C. 20231

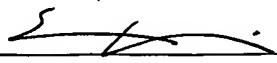
Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, it is requested that the references listed on the attached Form PTO-1449 be made of record in the above-identified application.

At least some of the references listed on PTO Form-1449 are discussed in the specification of the present application.

Copies of these references are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Respectfully submitted,

  
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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO				<i>Complete if Known</i>			
				Application Number			
				Filing Date	January 28, 2002		
				First Named Inventor	Osamu NAKAMURA et al.		
				Group Art Unit			
				Examiner Name			
Sheet	1	of	1	Attorney Docket Number	740756-2428		
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (if known)				
		6,285,042		Ohtani et al.	09/04/2001		
		6,165,824		Takano et al.	12/26/2000		
		5,789,284		Yamazaki et al.	08/04/1998		
		5,923,962		Ohtani et al.	07/13/1999		
		5,643,826		Ohtani et al.	07/01/1997		
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Office <sup>3</sup>	Number <sup>4</sup> (if known)				
		Japan	07-183540	Otani et al.	07/21/1995		Abstract
		Japan	3032801	Takano et al.	02/18/2000		Abstract
		Europe	0 651 431 A2	Ohtani et al.	01/31/1994		Full
<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>							
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>2</sup>	
Examiner Signature		Date Considered					

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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